SHEET 1 OF 1

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| FORM PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>4366-40   | SERIAL NO.<br>09/921,458 |
|  | APPLICANT<br>HONG et al.      |                          |
|  | FILING DATE<br>August 3, 2001 | GROUP ART<br>2661        |

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## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROP. |
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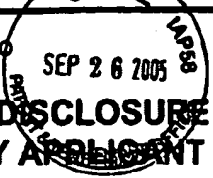
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|    |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY                         | CLASS | SUB<br>CLASS | TRANSLATION |    |
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|    |    |                    |            |                                 |       |              | YES         | NO |
| DC | AA | WO 01/69890 A1     | 09/2001    | PCT                             |       |              |             |    |
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| EXAMINER<br><i>Isaac Clark</i>  | DATE CONSIDERED<br>12/15/2004 |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    | <b>Complete if Known</b>   |                        |         |
|  |   |    | Application Number   | 09/921,458             |         |
|  |   |    | Filing Date  | August 3, 2001         |         |
|  |   |    | First Named Inventor   | HONG, Jack             |         |
|  |   |    | Art Unit   | 2154                   |         |
|  |   |    | Examiner Name  | CLARK, ISAAC R.        |         |
| Sheet  | 1 | of | 1  | Attorney Docket Number | 4368-40 |

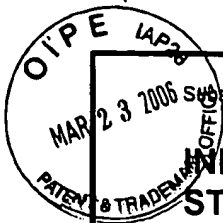
| U.S. PATENT DOCUMENTS   |                       |   |                                |  |   |
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| Examiner Initials*  | Cite No. <sup>1</sup> | Document Number<br>Number-kind Code <small>TP known</small> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

|                        |   |                 |   | Complete if Known |  |
|------------------------|---|-----------------|---|-------------------|--|
| Application Number     |   | 09/921,458      |   |                   |  |
| Filing Date            |   | August 3, 2001  |   |                   |  |
| First Named Inventor   |   | HONG, Jack      |   |                   |  |
| Art Unit               |   | 2154            |   |                   |  |
| Examiner Name          |   | CLARK, ISAAC R. |   |                   |  |
| Attorney Docket Number |   | 4366-40         |   |                   |  |
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## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number<br>Number-kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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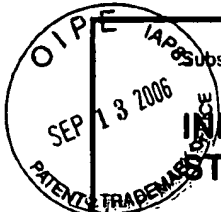
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


| Examiner Initials* | Cite No. <sup>1</sup> |  |
|--------------------|-----------------------|--|
|                    | AA                    | Office Action from the U.S. Patent and Trademark Office dated February 9, 2006, regarding Application No. 09/921,832 (Atty. Docket No. 4366-49). |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    | Application Number       | 09/921,458             |         |
|  |   |    | Filing Date              | August 3, 2001         |         |
|  |   |    | First Named Inventor     | HONG, Jack             |         |
|  |   |    | Art Unit                 | 2154                   |         |
|  |   |    | Examiner Name            | CLARK, ISAAC R.        |         |
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| Examiner Initials*  | Cite No. <sup>1</sup> | Document Number<br>Number-kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|                        |                     |
|------------------------|---------------------|
| Application Number     | 09921458            |
| Filing Date            | 2001-08-03          |
| First Named Inventor   | Hong                |
| Art Unit               | 2154                |
| Examiner Name          | PATEL, ASHOKKUMAR B |
| Attorney Docket Number | 4366-40             |

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| Art Unit               | 2154            |
| Examiner Name          | CLARK, ISAAC R. |
| Attorney Docket Number | 4366-40         |

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|                    | 2.                    | Office Action from the U.S. Patent and Trademark Office dated July 29, 2005 regarding Application No. 09/921,460 (Atty. Docket No. 4366-50) |
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| Application Number     | 09921458            |
| Filing Date            | 2001-08-03          |
| First Named Inventor   | Hong                |
| Art Unit               | 2154                |
| Examiner Name          | PATEL, ASHOKKUMAR B |
| Attorney Docket Number | 4366-40             |

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|  | 2       | Cohen, Edith, et al. "Evaluating Server-Assisted Cache Replacement in the Web," Lecture Notes in Computer Science, vol. 1461, Aug. 1998, pp. 307-319.   | <input type="checkbox"/> |
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| Examiner Name          | PATEL, ASHOKKUMAR B |  |  |
| Attorney Docket Number | 4366-40             |  |  |

|   |   |   |                          |
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|  | 8 | Written Opinion for corresponding International (PCT) Patent Application No. PCT/US01/24313 mailed July 18, 2002  | <input type="checkbox"/> |
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